

FORM PTO-1390
(Rev 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

PATKRI P03AUS

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 C.F.R.1.5)

10/069,141

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/03016

September 1, 2000

September 22, 1999

TITLE OF INVENTION

METHOD FOR ELECTROCHEMICALLY DETECTING SEQUENCE-SPECIFIC NUCLEIC ACID-OLIGOMER
HYBRIDISATION EVENTS

APPLICANT(S) FOR DO/EO/US

Gerhard HARTWICH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau. (PCT/IB/308 mailed)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)) is attached.
6. ☐ The International Application, with paragraph numbering added thereto, is attached.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO FORM 1449.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☒ A THIRD preliminary amendment.
14. ☐ A substitute specification w/Marked-Up Version of Amended Specification.
15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information:

- ☐ Preliminary Examination Report
- ☐ Annexes to Pre. Ex. Rep.
- ☐ International Search Report
- ☐ German Novelty Search Report
- ☐ ___ copies of citations
- ☐ Form PCT/IB/308
- ☒ Version w/Markings to Show Change Made

- ☒ Sequence Listing (pp. 55-59)
- ☒ Submission of Proposed Drawing Amendments
- ☒ 1 sheet of Amended drawings
- ☐ Abstract
- ☐ Applicant Claims Small Entity Status
- ☐ Copy of Notification of File Missing Parts
- ☒ 1 Floppy Disk

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date April 18, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL 918840138 US addressed to the: Box PCT, Assistant Commissioner of Patents, Washington, D.C. 20231.

Michael J. Bujold

(typed or printed name of person mailing paper)

(signature of person mailing paper)

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17. ■ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(5):

Search Report has been prepared by the EPO or JPO \$890.00

International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(1) .. \$710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(2)
but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$740.00Neither international preliminary examination fee (37 CFR 1.492)(a)(3)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00International preliminary examination fee paid to USPTO (37 CFR 1.492)(a)(4)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate
Total Claims	-20 =	0	x \$18.00
Independent Claims	-3 =	0	x \$84.00
Multiple dependent claim(s) (if applicable)			+ \$280.00

TOTAL OF ABOVE CALCULATIONS =

Reduction by 1/2 for filing by small entity, if applicable. **Applicant Claims Small Entity Status.** (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)). +

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

CALCULATIONS

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Amount to be:
refunded \$

charged \$

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 04-0213 in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 04-0213. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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